



**Fire Protection Industry Scheme,  
Reference SP203-1**

**For the Design, Installation, Commissioning &  
Maintenance of  
Fire Detection and Fire Alarm Systems**

**BAFE**

The Fire Service College, London Road, Moreton-in-Marsh,  
Gloucestershire GL56 0RH

☎ 0844 335 0897

[www.bafe.org.uk](http://www.bafe.org.uk) E: [info@bafe.org.uk](mailto:info@bafe.org.uk)

This is a BAFE Controlled Document. Changes are not permitted  
without authorisation from the BAFE Schemes Manager.

## Contents

| Clause  | Title   | Page No. |
|---------|---|----------|
|         | Foreword  | 3        |
| 1       | Introduction  | 3        |
| 2       | Scope   | 3        |
| 3       | Objective   | 4        |
| 4       | Definitions   | 5        |
| 5       | Application for Certification Audit                                     | 7        |
| 6       | Certification Audit   | 9        |
| 7       | Audit Decision  | 10       |
| 8       | Certification of an Organisation  | 10       |
| 9       | Surveillance Audits   | 10       |
| 10      | Management Systems  | 11       |
| 11      | Procedures for Certification of Modules, Compliance and Modifications   | 12       |
| 12      | Module No.1 - System Design   | 16       |
| 13      | Module No.2 - Installation  | 17       |
| 14      | Module No.3 - Commissioning and Handover                                | 18       |
| 15      | Module No.4 - Maintenance of the System                                 | 20       |
| 16      | System Verification   | 21       |
| 17      | Claims of Conformity  | 23       |
| 18      | Change of Registration Details  | 23       |
| Annex 1 | Certificates and Other Formal Documentation Relating to the Scheme      | 24       |
| Annex 2 | BAFE Information Statement  | 27       |
| Annex 3 | Instructions for the Completion of BAFE/TPCB Certificates of Compliance | 28       |
| Annex 4 | Model Certificates  | 30       |
|         | 4.1 Certificate of Conformity   | 30       |
|         | 4.2 Single Module Certificate   | 32       |
|         | 4.3 Multiple Module Certificate   | 33       |
|         | 4.4 Maintenance Certificate   | 34       |
|         | 4.5 Modification Certificate  | 35       |
| Annex 5 | Special rules for remote UK islands and Crown dependencies              | 36       |
| Annex 6 | SP203-1 Scheme Logos  | 37       |
|         | Amendment Record  |          |

© BAFE 2019

**Notes to all users of this document:**

Note 1: The copying of this document is not permitted without the express permission of BAFE except as permitted by Copyright law.

Note 2: Use of the BAFE Logo in relation to this BAFE Scheme is restricted to those organisations certificated to operate SP203-1 and is subject to the rules that from time to time may be issued by BAFE.

## FOREWORD

This version of the BAFE Scheme document was published in May 2019 for use by Third Party Certification Bodies (TPCBs) and by those organisations involved in the certification process. This version supersedes all previous versions of the Scheme document. Major changes within this version are detailed in the Amendment Record at the end of this document.

An organisation will be eligible for formal certification by a TPCB and for BAFE listing when they have been successfully audited by the TPCB as meeting the requirements of this BAFE Scheme.

## 1 INTRODUCTION

- 1.1** This BAFE Scheme has been developed to permit organisations involved with the design and/or installation and/or commissioning/handover and/or maintenance of fire detection and fire alarm systems to become third party certificated and listed as recognition of their competence to undertake their scope of work. By so doing, the Certificates of Compliance (C of C's) issued by Certificated Organisations, on completion of their work, will give the Customer, insurance companies and enforcing authorities e.g. the Fire Authority and Building Control, confidence that the work has been undertaken by competent persons or organisations. Relevant matters relating to the safety of occupants of the buildings will have been taken into account.
- 1.2** This Scheme has four modules in recognition of the fact that each module may be undertaken by different organisations. This modular approach is believed to be representative of the means by which a significant number of installations are designed, installed, commissioned, handed over and subsequently maintained by third party certificated organisations.
- 1.3** The Scheme recognises the importance of providing compliant fire detection and fire alarm systems whilst at the same time minimising false alarms. There are specific clauses within the Scheme that address these issues.
- 1.4** The importance of ensuring that the system that is handed over to the Customer meets the specified requirements is also addressed by requiring the commissioning certificate to list the variations from the original specification. Means are also included within the Scheme for the completion of projects where no specification is available.
- 1.5** This Scheme document specifies requirements to be met by Certificated Organisations (a term that is defined in Clause 4) and also includes guidance notes. These are detailed in document SG1, Guidance for Modular Schemes. The guidance notes are advisory and are included to assist those persons needing further advice on the application and implementation of the Scheme.
- 1.6** Additional information relating to the operation of this Scheme can be found in BAFE Scheme document Scheme Requirements for Certification Bodies and National Accreditation Body and for the assistance of organisations seeking certification or already certificated to SP203-1.
- 1.7** From time to time amendments to this Scheme will be published and will be shown on the BAFE website.

## 2 SCOPE

- 2.1** This BAFE Scheme covers the Design, Installation, Commissioning, Handover, Verification and Maintenance of fire detection and fire alarm systems. If, however, the Certificated Organisation responsible for the commissioning is different from that responsible for handover then module 3, commissioning and handover, may be sub-divided into two parts:

- 3a – Commissioning, and
- 3b – Handover.

**2.2** The scope of this Scheme does not include work in relation to systems based upon engineered solutions (often referred to as fire safety engineering).

### 3 OBJECTIVE

**3.1** This BAFE Scheme has been developed to permit organisations involved with one or more of the following:

1. Design
2. Installation
- 3a. Commissioning
- 3b. Handover
- 4 Maintenance

of fire detection and fire alarm systems to become third party certificated and BAFE registered as recognition of their competence to undertake their scope of work. By so doing, the certificates issued by these organisations on completion of their work will provide evidence of competence as required by the Regulatory Reform (Fire Safety) Order 2005 for England & Wales, the Fire (Scotland) Act and the Fire Safety Regulations (Northern Ireland) and give the Customer and relevant enforcing authorities e.g. the Fire Authority and Building Control, confidence that the work has been undertaken by competent organisations.

**3.2** The evidence of the delivery of the fire detection and fire alarm system to the required specification will be:

- a) modular certificates for the relevant work modules,
- b) a comprehensive system commissioning certificate, and
- c) providing all work has resulted in the issue of Module Certificates and the project has been successfully verified, a BAFE Certificate of Compliance for the overall installed system.

***Note:** Where one organisation has carried out all the work i.e. Design, Installation and Commissioning, it can directly issue the BAFE Certificate of Compliance.*

***Note:** When the work undertaken does not include a complete system, alternative certificates, explained elsewhere within this document, will be issued to the Customer.*

**3.3** It is recognised that false fire alarms are a major nuisance to both Fire & Rescue Services and to building occupants and this Scheme helps to address this within the modules for Design and for Maintenance of fire detection and fire alarm systems. Research has shown that the majority of false alarms are the result of the actions of people within the affected buildings or uncontrolled processes being undertaken. Providing designers are given sufficient information, they can recommend system designs that include means of minimising the false alarms. Similarly, if false alarms occur, the maintenance organisation can provide advice on means to overcome the problem. It is, however, recognised that the designer and the maintainer can only assist with the user's co-operation.

**3.4** The process for the issuing of a BAFE Certificate of Compliance involves the final "Verification" of the installed system. This should preferably be undertaken by the organisation having responsibility for the Design module. If, however, this is not possible then the Verification may be undertaken by another Certificated Organisation with at least the Design module included within its scope of certification to this Scheme. A sample BAFE Certificate of Compliance is shown in **Annex 4**

**3.5** This BAFE Scheme document details BAFE's minimum requirements to be met by an organisation applying for third party certification. TPCBs operating this Scheme may have additional requirements

that have to be met before they certificate an organisation. Details of additional requirements can be obtained from the relevant Certification Body.

## 4 DEFINITIONS

### 4.1 Audit

A systematic review

#### 4.1.1 Certification Audit

An audit with the objective of determining the suitability of an organisation to become a Certificated Organisation as defined in Clause 4.5.

#### 4.1.2 Surveillance Audit

An audit of a Certificated Organisation with the objective of determining their ongoing adherence to the requirements of this Scheme.

### 4.2 BAFE

The Scheme owner of the SP203 Schemes and is responsible for their maintenance.

### 4.3 BAFE Certificate of Compliance

The certificate issued on completion of the work. The certificate confirms that the work is compliant with this BAFE Scheme.

### 4.4 BAFE registered Organisation

In the context of this BAFE Scheme, an Organisation that has been listed by BAFE as competent to undertake one or more of the modules.

### 4.5 Certificated Organisation

An Organisation that has been awarded a certificate by a UKAS accredited Third Party Certification Body (TPCB) and that has been registered by BAFE following the successful audit of their scope of work relevant to this Scheme. To remain certificated, the organisation will continue to demonstrate compliance with this Scheme during subsequent surveillance audits by the TPCB and will remain BAFE registered.

### 4.6 Customer

The organisation specified in the contract as being the recipient of the completed fire detection and fire alarm system and the module certificate(s) and/or the BAFE Certificate of Compliance.

### 4.7 Commissioning

The process by which an installation is tested to confirm that its performance and its functionality meet the particular specification for that installation.

### 4.8 Commissioning Organisation

The body responsible for issuing the Module Certificate to the Customer according to the requirements of the Commissioning module of this BAFE Scheme. The same organisation may also undertake the Handover procedure.

### 4.9 Competence

The ability to apply knowledge, understanding and skills in performing to the standards required in relation to this BAFE Scheme. To be competent, persons must have sufficient knowledge, experience and skills needed to meet the requirements of the tasks related to the Scheme. Competent persons must have an awareness of their own limitations.

### 4.10 Design

The detailed selection, placement and configuration of products and interconnections to meet the Specified Requirements of a fire detection and fire alarm system including the production of performance declarations, designs records and testing procedures.

#### **4.11 Designer**

The person(s) within an organisation that has the competence and authority to undertake Design.

#### **4.12 Handover**

The process of transferring on-going responsibility for the installation from the Certificated Organisation to the Customer specified in the contract as accepting the completed system. The handover process should include training of relevant staff responsible for the day-to-day management of the installation and may be a phased process dependent upon the plans for occupation and/or utilisation of the protected building(s).

#### **4.13 Handover Organisation**

The body responsible for issuing the Module Certificate, or similar document, to the Customer according to the requirements of the Handover module of this BAFF Scheme.

#### **4.14 Initial Testing**

Testing, that includes inspection, of the system to ascertain its basic fitness for purpose. This may include, but is not limited to, quality of workmanship, insulation resistance testing, and earth leakage measurements.

#### **4.15 Installation Organisation**

The body responsible for issuing the Module Certificate, or similar document, to the Customer according to the requirements of the Installation module of this BAFF Scheme.

#### **4.16 Key Personnel**

Those personnel that have a significant influence on a system. Those personnel could include Technical Manager/lead; named Designers; verifiers and commissioning engineers; installers and maintenance engineers

#### **4.17 Maintenance**

The process, by which a fire detection and fire alarm system is inspected, regularly serviced as required by the relevant Standard, tested and, if necessary, repaired in order to keep it in an effective operational state.

#### **4.18 Maintenance Organisation**

The body responsible for the maintenance process and for advising the Customer of the work undertaken during maintenance visits.

#### **4.19 Module Certificate**

The certificate completed by the organisation responsible for the work associated with a particular module of the fire detection and fire alarm system that signifies completion of the work in accordance with the requirements of this BAFF Scheme.

**Note:** The basic certificate may be provided by the TPCB or, in certain circumstances, may be produced by the Certificated Organisation. See **Annex 4.2**

#### **4.20 Named Designer(s)**

The named person(s) within the organisation who has demonstrated to the TPCB competence and authority to undertake the design process relevant to fire detection and fire alarm systems.

**Note:** The named person(s) will be assessed by a TCPB, during the application process and thereafter during routine Surveillance Audits and the named person(s) will be registered with a TPCB.

Named Designers effectively hold the design qualification. Where the Named Designer leaves the employment of one organisation the design competence, assessed by the TCPB, will leave with that person.

It is for the Certificated Organisation to retain the appropriate number of Named Designers required within its scope of approval and relevant to the amount of work undertaken.

#### **4.21 Records**

The means by which an organisation is able to maintain its information on projects being planned, being undertaken or which have already been undertaken. Such records may be kept in a number of different formats, e.g. hard copy documents or electronic files.

#### **4.22 Specification**

A document specifying requirements and which usually forms a part of a legally binding contract.

#### **4.23 Specified Requirements**

The performance and other requirements of the System and associated matters that are defined within the project specification.

#### **4.24 Subcontractor**

Individual or company contracted to perform part of the obligations of another's contract.

#### **4.25 System**

The generic description of the fire detection and fire alarm components installed or planned to be installed in and around buildings.

#### **4.26 Third Party Certification Body (TPCB)**

An organisation that has been accredited by UKAS as competent to assess an organisation's competence to undertake work in accordance with this Scheme and to subsequently undertake periodic surveillance audits of the ongoing competence of the organisation once it has been certificated.

#### **4.27 UKAS**

The United Kingdom Accreditation Service.

#### **4.28 Verification**

The process by which the installed system and the design and commissioning records are inspected to check, as far as possible, that the installed fire detection and fire alarm system meets the requirements of the Design Specification and that the use and structure of the building has not been changed in any way that may compromise the design and to document and agree any deviations from relevant installation standards with the Customer and any other stakeholder such as insurers and regulating authorities.

**Note:** *This definition is not intended to have exactly the same meaning as that described within BS 5839-1*

## **5 APPLICATION FOR CERTIFICATION AUDIT**

**5.1** An organisation wishing to be assessed to the requirements of this BAFE Scheme shall make a written application to a TPCB stating which modules are to be assessed and the Standards relevant to fire detection and fire alarm systems that the organisation requires to be included within their scope of certification. The desired scope of an organisation applying for Certification Audit is to be selected from the categories listed in **Annex 1.5.1** of this Scheme document.

**5.2** Organisations offering a scope of products or services covering more than one of the individual modules of the Scheme shall make written application and be assessed for the full scope of their activities which are covered by this Scheme.

**Note:** *The Certification Audit covering all of the individual modules should be completed within 12 months of the date of application.*

- 5.3** A separate application shall be made for each operational location involved in certificating work. While each operational location shall be separately audited, certification can be at the Organisation Corporate level or at operational location level.
- 5.3.1** Where an organisation applies for certification at Corporate level a single application is permissible but the application must identify which operational locations are covered by the application and what scope of work each location undertakes.
- 5.3.2** An application for certification at Corporate level must include ALL locations that undertake any modules of work covered by this BAFE scheme.
- 5.3.3** Certification at the Organisation Corporate level is only permitted when all operational locations, where work in relation to modules within the organisation's scope is undertaken, are satisfactorily assessed by the TPCB.
- 5.3.4** Where an organisation holds certification at Corporate level not all the organisation's operational locations have to undertake the full scope of work for which the organisation itself is certificated. For example, whilst the organisation may hold Corporate level certification for design, installation, commissioning & maintenance, some operational locations may only undertake maintenance work.
- 5.3.5** The certificate of approval issued by the TPCB shall clearly indicate which operational locations are covered by the Corporate level certification.

***See Guidance Document SG1 for further information on Clause 5.3***

- 5.4** Corporate level certification may be withdrawn if the work of one operational location is seen to consistently sub-standard. Where this is the case the organisation shall not advertise its services as a Certificated Organisation complying with the requirements of this BAFE Scheme.
- 5.5** The applicant organisation shall demonstrate to the TPCB that they have the appropriate competence to undertake the scope of work for which they are applying. An organisation that is currently trading shall, as part of their demonstration of competence, make available for inspection sufficient work, completed and in progress, representative of the categories of work to which the application relates.

***See Guidance Document SG1 for further information on Clause 5.5***

- 5.6** The organisation shall have been actively undertaking the work covered by the scope of its application and for which there is sufficient work to enable a TPCB to determine competence.
- 5.7** An applicant organisation shall permit representatives of the TPCB to have access to the organisation's contracting offices to assess equipment, documentation and business processes.
- 5.8** The extent of the audit shall be prescribed by the TPCB having regard to the range, scale and geographical spread of work for which certification is sought.

## **6 CERTIFICATION AUDIT**

- 6.1** The organisation shall have available the following items for audit by the TPCB's representatives:



- a) a list of projects in progress and completed that is representative of the scope of work that has been listed in its application
- b) specifications, drawings, records, certificates and reports relating to work in progress and that completed over the previous 12 months,
- c) any other items that are relevant to the process and that the TPCB reasonably requires,
- d) evidence that adequate insurance cover is held for the categories of work undertaken,
- e) a register of those installations where there are ongoing problems and have evidence of their effective management of these installations, and

**Note:** this reference to "effective management" recognises that the Customer may, in some instances, prohibit the organisation from undertaking all the remedial work that they may recommend.

**See Guidance Document SG1 for further information on Clause 6.1 e)**

**6.2** Premises shall be adequate for the business being undertaken.

**See Guidance Document SG1 for further information on Clause 6.2**

**6.3** In special circumstances e.g. where organisations are small, the minimum requirements for premises, specified in Clauses **6.3.1** and **6.3.2** shall apply.

**6.3.1** The area(s) used as a workplace shall be segregated from those used for other purposes.

**See Guidance Document SG1 for further information on Clause 6.3.1**

**6.3.2** The area(s) used as a workplace shall be secured to prevent unauthorised access to important documentation when the area is not occupied e.g. records of installed systems, quotations, Customers' drawings, are not vulnerable to abuse when the area(s) is not occupied by a representative of the organisation.

**6.4** The area(s) used as a workplace shall be protected by adequate security measures. Adequate security measures may include:

- an intruder and fire alarm
- access control system
- a 24 hour man guarding patrol
- a secure site

**6.5** The organisation shall be fully prepared for the audit by the TPCB's representatives and shall have available all the necessary materials and personnel relevant to the audit process.

**6.6** The organisation shall provide facilities and shall arrange access for the audit and provide transport to sites where work is selected for audit by the TPCB.

## 7 AUDIT DECISION

- 7.1 On completion of the audit by the representatives of the TPCB, the organisation will receive an audit report recording any non-compliance and shall agree the time scale for the completion of remedial action.
- 7.2 The organisation will subsequently be advised by the TPCB of its decision as to whether or not certification is to be granted.
- 7.3 Where there is a dispute between the organisation and the TPCB relating to certification, the organisation has the right to invoke the TPCB's appeals procedure established under the requirements of BS EN ISO/IEC 17065.

## 8 CERTIFICATION OF AN ORGANISATION

- 8.1 An organisation shall not advertise its services as a Certificated Organisation complying with the requirements of this BAFE Scheme or make reference to the categories of work for which it may be certificated until it has been successfully assessed by the TPCB as complying with the requirements of this Scheme and is in possession of a current TPCB Certificate and listing by BAFE.
- 8.2 The Certificate issued by the TPCB will specify those categories of work that have been assessed as satisfactory. The TPCB Certificate will remain the property of the TPCB and shall be returned, upon request, on cessation of certification for whatever reason.
- 8.3 The Certificated Organisation shall, at all reasonable times, make available its TPCB Certificate to a representative of the TPCB.
- 8.4 On being granted a TPCB Certificate, the Certificated Organisation undertakes to continue to comply with the requirements of this Scheme for the period covered by the Certificate.
- 8.5 A Certificated Organisation shall be eligible to remain certificated provided the organisation continues to be engaged in fire detection and fire alarm system work and continues to comply with the requirements of this Scheme and those of the TPCB.

## 9 SURVEILLANCE AUDITS

- 9.1 The Certificated Organisation shall demonstrate conformity with Clause 6.1 to Clause 6.6, together with Clause 9.1 to Clause 9.6 during surveillance audits.

*Note: Continued certification is conditional upon the results of Surveillance Audits. These audits are undertaken by the TPCB to verify that the standard of work carried out together with the implementation of the documented management system by the Certificated Organisation continues to meet the requirements of this BAFE Scheme.*

- 9.2 Any non-conformities identified during surveillance activities shall be resolved to the TPCB's satisfaction within agreed time scales.
- 9.3 The frequency and duration of Surveillance Audits will be determined by the TPCB and will depend upon the individual organisation's situation and circumstances.
- 9.4 Surveillance Audits shall take place at each certificated location approximately 6 months after the Certification Audit, and subsequently at six monthly intervals, and shall examine technical aspects, management system documentation and records for each module covered by the scope of certification for the location concerned.

- 9.5** After 24 months the frequency and duration of Surveillance Audits shall be reviewed and may be reduced to once per annum (minimum) subject to the discretion of the TPCB.
- 9.6** For organisations with a scope limited to Installation or Maintenance, only one Surveillance Audit per year is necessary unless there are outstanding matters requiring a further visit or visits.
- 9.7** Where during a Surveillance Audit the TPCB discovers adverse trends a special audit, shall be carried out by the TPCB normally within 30 days from the date of the routine Surveillance Audit, to verify that satisfactory corrective action has been taken by the organisation to ensure that the standard of work carried out meets the Scheme requirements.
- 9.8** Where, during a Surveillance Audit, the TPCB finds that the overall standard of work falls below the Scheme requirements or where the Certificated Organisation has not satisfactorily cleared any non-compliances by the agreed date, the organisation's certification shall be suspended for a period determined by the TPCB.
- 9.9** Where the organisation fails to demonstrate that adequate action has been taken to improve the standard of work to an acceptable level or fails to clear the outstanding non-compliances by the end of the period of suspension the organisation's certification shall be withdrawn by the TPCB.
- 9.10** Where an organisation having had its certification withdrawn wishes to re-join the Scheme it must submit a new application and undergo a complete re-Certification Audit.

## 10 MANAGEMENT SYSTEMS

Certificated Organisations operating any of the modules of this Scheme shall operate an effective management system covering these modules. As a minimum the management system shall document the following:

- a) Records to provide evidence of corrective actions where process problems have been identified
- b) A procedure to deal with complaints or defects associated with the modules undertaken
- c) The maintenance of a register of approved sub-contractors, where sub-contractors are permitted by this Scheme
- d) The management structure of the organisation
- e) The responsibilities of key personnel who are involved in each certificated module
- f) Procedures to cover the satisfactory initiation, execution, supervision and completion of the processes relevant to the modules of the Scheme and appropriate to the scale and complexity of the works undertaken
- g) A register of all instruments and equipment used for measurement, inspection and testing purposes. Equipment shall be calibrated or tested as per manufacturers recommendations or verified, at specified intervals, for their ongoing accuracy. Calibration/test certificates should have result measurements traceable to national standards
- h) A register of Standards and publications, including manufacturers' literature technical literature from manufacturers and trade organisations, that is maintained showing their issue status. Relevant staff shall have access to these documents
- i) Suitable policies, processes, audits and records etc. to ensure that personnel are competent for the work they undertake
- j) Competency records for all technical staff
- k) Procedures to ensure that all relevant personnel have access to and have knowledge of relevant up to date data, Standards and Codes of Practice
- l) Evidence that the management system and KPIs are regularly reviewed, and amended where appropriate, to ensure its continuing effectiveness

- m) Key performance indicators with respect to:
  - i) The level of achievement, by month, for the execution of periodic contractual maintenance visits
  - ii) Failure to achieve the contractual time, or a maximum of 8 hours, to respond to requests for corrective actions i.e. emergency, maintenance
- n) Records of false alarms and troublesome systems

**Note:** Records shall be maintained for remotely connected fire detection and alarm systems that generate false or unwanted alarms. When trends are identified Customers should be advised to have an inspection of the system to try and eliminate the cause(s) of the false alarms to prevent further waste of Fire & Rescue Service resources.

**Note:** BAFE Modular Scheme Logos shall only be used by Certificated Organisations holding relevant BAFE registration. Where the BAFE registration for a particular location is limited to Maintenance only, the BAFE Modular Scheme Logo "SP203 – Part 1 Maintenance only" shall only be used in respect of maintenance work carried out from that particular location.

## 11 PROCEDURES FOR CERTIFICATION OF MODULES, COMPLIANCE AND MODIFICATIONS

### 11.1 Module Certification

**11.1.1** Subject to the special conditions, stated in Clause **11.3**, relating to the module certification of modifications, SP203-1 Certificated Organisations, as defined in Clause **4** of this BAFE Scheme, shall issue Module Certificates for all the work they undertake that falls within the scope of their SP203-1 assessed certification as described in Clause **17**. The only exception will be if the work is undertaken by the organisation but to the requirements of another BAFE adopted fire protection scheme, i.e. not SP203, involving third party certification.

**Note 1:** In signing a Module Certificate each Certificated Organisation is formally confirming that their module of the project fully complies with the requirements of this Scheme.

**Note 2:** Details of the information to be included within Module Certificates are included in Annex 1.

**Note 3:** The details of the procedure for ensuring that Module Certificates are prepared and handed over to the Customer or the Customer's representative should be clearly stated in the contract documentation relating to the installation. This should also advise that the documentation should be available to those Certificated Organisations responsible for subsequent certification.

**11.1.2** Where different Certificated Organisations complete modules of an installation, formal evidence of module completion shall be provided as soon as possible after the completion. This would normally be achieved by the issuing of a Module Certificate.

**11.1.3** The Module Certificate shall be made available to the Customer and/or the Certificated Organisation undertaking the next module.

**Note 1:** While it would be an advantage if the Module Certificate was provided prior to the following module being started, this will often be impractical and Certificated Organisations should agree with the Customer when it is practically possible to commence work on the succeeding module.

**Note 2:** It is often the case that additional work will need to be undertaken, e.g. by the system

*Designer, as the project progresses and as issues arise that are outside of the scope of succeeding modules. When such additional work is required, the organisation originally contracted to undertake the module would normally be expected to offer to provide the appropriate input. However, it is then up to the Customer to decide whether to accept the offer.*

- 11.1.4** Where an organisation takes responsibility for more than one module, the evidence can be of formal completion of the combined modules as long as they directly succeed one another.
- 11.1.5** Where Module No.3, Commissioning and Handover, is sub-divided into two parts; 3a and 3b with separate Certificated Organisations for each sub-module, there shall be a separate Module Certificate issued for each sub-module.
- 11.1.6** Where an organisation is contracted to carry out the whole of the project (Design, Installation, Commissioning and Handover) Module Certificates for each module are not necessary. On satisfactory Commissioning and Verification, a BAFE Certificate of Compliance shall be issued as this certificate includes the relevant information included within the Module Certificates.

## 11.2 Compliance Certification

- 11.2.1** Where a fire detection and fire alarm system is to be issued with a BAFE Certificate of Compliance in accordance with the requirements of this Scheme, there are generally three ways in which this can be achieved:
  - a) Where a single Certificated Organisation is responsible for all modules of the work.
  - b) Where different Certificated Organisations provide one or more modules of the work e.g. the Design module is provided by one Certificated Organisation and the Installation, Commissioning and Handover modules are provided by a different Certificated Organisation.
  - c) There is a thorough survey of the existing installation that results in the retrospective certification of the whole system, including any modification, and a BAFE Certificate of Compliance is issued.
- 11.2.2** The issue of the BAFE Certificate of Compliance is confirmation that the whole system meets the contractual requirements in terms of performance and compliance.

*Note: An example of a BAFE Certificate of Compliance is included in **Annex 4***

- 11.2.3** Where a single Certificated Organisation is responsible for providing the whole of the work, that Certificated Organisation shall issue a BAFE Certificate of Compliance to the Customer, provided that the Certificated Organisation has an SP203-1 certificated scope covering all relevant modules and verification of the work.
- 11.2.4** Where more than one Certificated Organisation is involved in providing the modules of the project (Design, Installation, Commissioning and Handover) the Certificated Organisation responsible for the Commissioning and Handover shall issue the BAFE Certificate of Compliance to the Customer provided that:
  - a) all the work has been carried out by Certificated Organisations that have been certificated to the requirements of this Scheme to carry out those modules of the work, for which they have had responsibility,
  - b) the signed Module Certificates from each of the Certificated Organisations, declaring that they have fully discharged their responsibilities in accordance with the relevant requirements of this Scheme, shall be made available to the Certificated Organisation responsible for issuing the BAFE Certificate of Compliance to the Customer, and
  - c) prior to the BAFE Certificate of Compliance being completed, the installed System shall have been verified in relation to the system design by either the organisation responsible for the

original system design module or by another Certificated Organisation with an appropriate scope in relation to this Scheme i.e. a scope that includes Design and Verification.

**11.2.5** While it is recognised that systems will normally be issued with a BAFE Certificate of Compliance when first installed by organisations operating under the requirements of this Scheme, there may be instances when certification is required at a later date. Under these exceptional circumstances, an organisation that has been third party certificated to undertake Design and Verification may issue a BAFE Certificate of Compliance retrospectively, provided that the work is surveyed and verified as meeting the requirements of this Scheme. See also Clause **16.9** and Clause **16.10**.

**11.2.6** Where BAFE Certificates of Compliance are to be issued for a System including a fire detection system triggering a fire suppression system, neither the fire detection certificate nor the SP203-3 fire suppression certificate shall be issued without there being evidence that the combined system has been tested jointly by the organisations responsible for the fire detection and the fire suppression commissioning modules. This is to ensure that the entire System operates effectively and evidence of this joint test shall be included as an annex to the BAFE Certificates of Compliance for the fire detection and the fire extinguishing systems.

**11.2.7** On the successful completion of Verification, irrespective of whether one or more organisations were involved, one BAFE Certificate of Compliance shall be issued for the total System. Where two or more organisations are involved, the certificated organisation responsible for the Commissioning and Handover module of this Scheme shall issue the BAFE Certificate of Compliance. In this instance, the organisation issuing the BAFE Certificate of Compliance shall have, and shall retain, written evidence from the other organisation or organisations involved regarding their completion and successful Verification of their part of the System.

**See Guidance Document SG1 for further information on Clauses 11.2.7 and 11.2.8**

### 11.3 Modification Certification

**11.3.1** For fire detection and fire alarm systems, an SP203-1 certificate for a modification shall be issued where the modification meets one or both of the following criteria:

- i) replacement of the original control and indicating equipment (CIE) or a power supply or repeat indicator panel is added to the system,

**Note:** For the avoidance of doubt the change from a non-addressable to addressable CIE is not classed as a modification and should therefore be subject to the design, installation and commissioning clauses of SP203-1.

- ii) the addition to the System of at least 10 devices e.g. detectors, manual call points, alarm devices, end of line devices, short circuit isolators,

**See Guidance Document SG1 for further information on Clauses 11.3.1**

**11.3.2** Providing the requirements of 11.3.1 i) or ii) are met, a Certificate of Modification of the System shall be issued.

**Note:** The purpose of the Certificate is to formally record modification work as this may be a substantial part of the activities of a Certificated Organisation.

**11.3.3** The Certificate of Modification is to be produced in a format that generally accords with that shown in **Annex A 4.5** and must not be easily confused with the model Modification Certificate within BS 5839-1 or with the BAFE Certificate of Compliance.

**Note:** The Certificate of Modification shall include the BAFE Logo.

**11.3.4** For those projects where an existing certificated, or non-certificated, networked System is to be extended, a Certificate of Conformity shall be issued for the extension providing the extension meets the following criteria:

- a) The extension shall comprise at least a control and indicating equipment together with detectors and alarm devices,
- b) Irrespective of the network's performance, the new CIE and associated components shall be able to reliably detect a fire and trigger an alarm in the area that the extension protects.

**11.3.5** The extension shall comply with the requirements of Clause **11.2**

**11.3.6** For modification projects of a size that requires the issuing of a Certificate of Modification as specified in Clause **11.3.2**, all the work shall be undertaken by Certificated Organisations with an SP203-1 scope covering their work and where more than one SP203-1 Certificated Organisation is involved with the modification work, each shall provide an appropriate Module Certificate and one of the organisations shall have responsibility for providing the Certificate of Modification.

**11.3.7** For small modification projects of a size that prohibits the issuing of a Certificate of Modification as specified in Clause **11.3.1**, all the work may be undertaken by one SP203-1 Certificated Organisation providing that organisation has the appropriate competence and meets relevant legislative requirements e.g. for the installation of low voltage electrical circuits.

**11.3.8** Modification projects that naturally fall within the requirements of Clause **11.3.5** shall not be split into two or more projects that fall within the requirements of Clause **11.3.6**.

## 11.4 Maintenance Certification

**11.4.1** A Certificated Organisation with Maintenance included within its scope of activities shall issue Certificates of Maintenance for fire detection and fire alarm systems irrespective of whether a BAFE Certificate of Conformity has been issued. See **Annex A1, A1.1.2** for the BAFE certificate requirements which shall be regarded as minimum requirements.

**See Guidance Document SG1 for further information on Clause 11.4.1**

**11.4.2** Where for any reason the start of maintenance is delayed or there has been a longer than normal period between planned maintenance visits, an assessment of the implications of the delay shall be made by the Maintenance Organisation and any work necessary to restore the system to its original specification should be undertaken prior to the issue of the Maintenance Certificate.

## 12 MODULE NO.1- SYSTEM DESIGN

**12.1** System Design shall be undertaken by an organisation that has been certificated by a TPCB and subsequently listed by BAFE as being competent to design fire detection and fire alarm systems in accordance with the requirements of this Scheme.

*Note: For the avoidance of doubt, System Design may be sub-contracted only to another Certificated Organisation.*

**12.2** The Certificated Organisation shall retain the appropriate number of Named Designers required within its scope of approval and relevant to the amount of work undertaken and shall notify the TPCB should there be a change in the number of designers that will affect the Certificated Organisation's ability to fulfil its design obligations

**12.3** The Designer(s) shall be competent and shall understand the Specified Requirements.

*Note: Whilst the person(s) having authority to sign off designs on behalf of the Certificated Organisation shall be named individual(s), the responsibility for the effectiveness of the design rests with the Design Organisation that will have been third party certificated as meeting the requirements of this Scheme.*

#### 12.3.1 Competency Requirements

The minimum competency requirement required by a Named Designer is,

- fully conversant with the recommendations of BS 5839-1, sections 2 & 3 and any other Standards relevant to the Company's scope of certification

**See Guidance Document SG1 for further information on Clause 12.3**

**12.4** Each Design shall be in accordance with one or more agreed specification(s)

**See Guidance Document SG1 for further information on Clause 12.4**

**12.5** Where practicable Fire detection and fire alarm systems shall utilise components and cables that meet the requirements of BS 5839-1 and, where relevant, the requirements of the Construction Products Regulations.

**12.6** The Design Organisation shall demonstrate an understanding of the importance of properly interfacing with other building services, systems and structures and safely connecting to electricity supplies, where applicable. Evidence shall exist of its ability to effectively liaise with other organisations to achieve the desired functionality of the interfaced systems and to ensure the provision of relevant information.

**See Guidance Document SG1 for further information on Clause 12.6**

**12.7** The Design Organisation shall keep comprehensive design records of the complete design process for each project and shall make available those records required by organisations responsible for other modules of systems included within this Scheme.

**12.8** The Design records shall be maintained and made readily accessible for a minimum of 7 years, or the minimum legal requirement whichever is the greater, from the date of handover of the project or until some other organisation, e.g. the owner of the installation formally takes responsibility for their ongoing storage and maintenance.

**See Guidance Document SG1 for further information on Clause 12.8**

**12.9** There shall be clear evidence of the formal completion of the initial design process to the point at which the installation process can commence. In addition there shall be provision for the design process to



continue until the System Installation and Commissioning is completed and the BAFE Certificate of Compliance is to be produced.

**See Guidance Document SG1 for further information on Clause 12.9**

**12.10** The Design Organisation shall issue a Module Certificate for each designed installation complying with the Specified Requirements.

## 13 MODULE NO. 2- INSTALLATION

**13.1** Installation of fire detection and fire alarm systems shall be undertaken by an organisation or organisations that are either:

- a) certificated by a TPCB and Listed by BAFE as meeting the requirements of this BAFE Scheme, or
- b) are certificated by a UKAS accredited Certification Body to BS EN ISO/IEC 17065 to operate schemes that are within one or more of the scopes listed in **Annex 1** of SP203-1 and that are appropriate to the work being undertaken.

**See Guidance Document SG1 for further information on Clause 13.1**

**13.1.1** In the case of UK remote islands and Crown dependencies and only where there are no Certificated Organisations available to carry out installation, commissioning and maintenance special requirements shall apply. See **Annex 5**

**13.2** Sub-contracting of installation work to a non-Certificated Organisation shall be subject to the demonstration of competency and appropriate qualification. *The Certificated Organisation remains at all times responsible for the quality and standard of sub-contracted work.*

Where the installation work is carried out by a Sub Contractor the System shall be commissioned in accordance with Section 8 of this scheme document.

**13.3** The Installation Organisation shall be competent and understand the Specified Requirements as they apply to the installation process. Installation engineers shall be fully conversant with the recommendations of BS 5839-1, section 4.

**13.4** The installation shall be in accordance with the agreed Specified Requirements.

**13.4.1** All equipment covered by a Harmonized European Standard shall be third party certificated to appropriate Standards and, where relevant, meet the requirements of the Construction Products Regulations (CPR):

**See Guidance Document SG1 for further information on 13.4.1**

**13.4.2** Equipment not covered by **13.4.1** need not be third party certificated but shall conform to appropriate Standards.

**See Guidance Document SG1 for further information on Clause 13.4.2**

**13.5** The Installation Organisation shall issue a Module Certificate for each installation complying with the Specified Requirements unless the Installation Organisation is the same organisation as that contracted to undertake the Initial Testing, Commissioning and Handover, in which case a single Module Certificate covering both modules is acceptable.

**13.6** The Installation Organisation shall keep comprehensive records of the installation process for each project and shall make available, to other organisations and/or the Customer, those records required by organisations responsible for other Modules of installations included within this Scheme.

***See Guidance Document SG1 for further information on Clause 13.6***

**13.7** The Installation records shall be maintained and made readily accessible for a minimum of 7 years or the legal minimum requirement whichever is the greater, from the date of handover of the project unless dictated otherwise by the contract or until some other organisation, e.g. the owner of the installed System, formally takes responsibility for their ongoing storage and maintenance.

***See Guidance Document SG1 for further information on Clause 13.7***

**13.8** There shall be clear evidence of the formal completion of the installation process to the point at which the Initial Testing and Commissioning can commence.

***See Guidance Document SG1 for further information on Clause 13.8***

## **14 MODULE NO. 3- COMMISSIONING AND HANDOVER**

**14.1** Commissioning may involve some Initial Testing of the System. The Installation Organisation may undertake some or all the Initial Testing. Under these circumstances, the Commissioning Organisation shall assess the results prior to undertaking Commissioning.

**14.2** Initial Testing, Commissioning and Handover of the System shall be undertaken by one or more organisations that are certificated by a TPCB and listed by BAFE as meeting the requirements of this Scheme.

***Note:*** *Commissioning and Handover may be sub-contracted only to another Certificated Organisation.*

**14.3** Dependent upon the contract, handover of the System may be undertaken by a different organisation to that which undertakes Commissioning. This BAFE Scheme permits separate module certification of the Handover process provided that all the organisations meet the requirements of Clause **14.2**. Where Commissioning and Handover are the responsibility of two separate organisations, the organisation responsible for Commissioning shall provide the Handover Organisation sufficient evidence to assure them that the final testing and commissioning had been completed satisfactorily and that variations are clearly identified.

***See Guidance Document SG1 for further information on Clause 14.3***

**14.4** The Commissioning Organisation shall demonstrate its competence to initially test, commission and handover the installed equipment and shall understand the Specified Requirements.

**14.4.1** The minimum competency requirement required by a commissioning engineer is:  
- Fully conversant with the recommendations of BS 5839-1 sections 4 and 5 plus any other Standards relevant to the Company's scope of certification

***See Guidance Document SG1 for further information on Clause 14.4***

**14.5** The installation shall be commissioned and handed over in accordance with the agreed Specified Requirements.

**14.6** For installations where there is no specification provided that states the Standard or Code to which the System should comply the following procedure shall be followed by the organisation undertaking the Commissioning:

- a) The Certificated Organisation receives an instruction to commission a fire detection and alarm system
- b) If no detailed specification is provided, the Certificated Organisation shall formally request a specification from their Customer
- c) If no detailed specification is forthcoming after the formal request, the Certificated Organisation shall inform their Customer, in writing that they will commission the system to the category of BS 5839-1 that most closely matches the installed equipment and its siting
- d) Subject to the Customer continuing to require the Certificated Organisation to undertake the Commissioning, the category of BS 5839-1 shall be ascertained, and the Commissioning undertaken
- e) On completion of Commissioning, the Certificated Organisation shall issue a Commissioning Certificate, as specified within **Annex 4.3**, including a statement of system variations, irrespective of whether they have been agreed by the Customer

**14.7** For installations where there is nobody representing the Customer on site to liaise with the engineer during the commissioning process and where the Customer refuses requests for a liaison person, the following procedure shall be followed by the organisation undertaking the Commissioning:

- a) The Certificated Organisation shall advise the Customer of the date that Commissioning will be undertaken
- b) After the Commissioning is completed, draft commissioning and handover documentation is to be forwarded to the Customer with a covering letter advising of:
  - i) the category to which the System has been commissioned
  - ii) the variations noted against the recommendations of BS 5839-1 or other specification that might apply

Copies of all relevant correspondence shall be retained by the Certificated Organisation and made available to their TPCB on request during a surveillance audit.

**14.8** The Certificated Organisation shall keep comprehensive test, commissioning and configuration records for each project and shall make available those records required by organisations responsible for other Modules within this BAFE Scheme.

**14.9** The Commissioning and Handover records shall be maintained and made readily accessible for a minimum of 7 years or the minimum legal requirement whichever is the greater, from the date of handover of the project unless dictated otherwise by the contract or until some other organisation, e.g. the owner of the installed System, formally takes responsibility for their ongoing storage and maintenance.

***See Guidance Document SG1 for further information on Clause 14.8 and 14.9***

**14.10** There shall be clear evidence of the formal completion of Initial Testing, Commissioning and Handover to the point at which the Customer takes ongoing responsibility for the installation.

***See Guidance Document SG1 for further information on Clause 14.10***

**14.11** Evidence shall be available that adequate records of all phases of the project have been passed to the Customer prior to completion of Handover and that the Customer has been recommended to retain the records for at least the lifetime of the installed system.

***See Guidance Document SG1 for further information on Clause 14.11***

## 15 MODULE NO. 4- MAINTENANCE OF THE SYSTEM

**15.1** Maintenance of the fire detection and fire alarm system shall be undertaken by an organisation that is certificated by a TPCB and Listed by BAFE as meeting the requirements of this BAFE Scheme.

*Note: For the avoidance of doubt, Maintenance may be sub-contracted only to another Certificated Organisation.*

**15.2** The organisation shall demonstrate its competence to maintain the installed equipment and shall understand the Specified Requirements.

**15.2.1** The minimum competency requirement required by a maintenance engineer is fully conversant with the recommendations of BS 5839-1 section 6.

**15.2.2** Any personnel carrying out Maintenance work on those parts of the fire detection and fire alarm installation other than the extra low voltage will also require the following competencies:-

- Knowledge of the requirements of BS 7671 and
- Attendance and successful assessment on a recognised course in safe isolation provided by a nationally recognised organisation

**See Guidance Document SG1 for further information on Clause 15.2**

**15.3** The organisation shall have sufficient resource with adequate competence to:

- a) effectively undertake the maintenance work to which it is committed, and
- b) to investigate and subsequently rectify system related problems, which result in false fire alarms.

**15.4** For installations incorporating remote signalling systems with an Alarm Receiving Centre (ARC) that has the signalling contract the Maintenance Organisation shall have an agreement with an Alarm Receiving Centre certificated with a scope of work for monitoring fire detection and fire alarm systems by a TPCB accredited by UKAS for this scope of work, and shall receive notification of all fire alarm activations within 24 hours or by the next working day after their occurrence.

**15.5** The Maintenance Organisation shall have adequate resources to permit attendance on site to maintain faulty systems within the specified time. Unless otherwise stated differently in contracts, the maximum time to attend shall be 8 hours from the receipt of the request.

**15.6** To attend site within 8 hours as required by Clause 15.5, more than one person needs to be available to cover for holidays and illness etc. While a single person Maintenance Organisation may be able to satisfy all other requirements of Clause 15, the Maintenance Organisation shall provide evidence that it has a current, ongoing contract for the provision of competent back-up support with another SP203-1 Certificated Organisation that has Maintenance as part of its scope.

**See Guidance Document SG1 for further information on Clause 15.5 and 15.6**

**15.7** The Maintenance Organisation shall have access to adequate spare parts in order to:

- a) effectively repair Systems for which it is responsible, and
- b) complete the repair in the time periods required by each maintenance contract.

**15.8** Where spare parts are not available, due for example to the age of the installed equipment, there shall be evidence that the Customer has been informed of this situation in writing.

**See Guidance Document SG1 for further information on Clause 15.7 and 15.8**

**15.9** The installation shall be serviced and maintained in accordance with the contract specification.

**See Guidance Document SG1 for further information on Clause 15.9**

**15.10** The Maintenance Organisation shall keep comprehensive records of maintenance work for each project for a minimum of 7 years or the legal minimum requirement whichever is the greater, from the date of completion of the work.

**See Guidance Document SG1 for further information on Clause 15.10**

**15.11** The records shall include details of any work carried out as a result of system design changes that have been undertaken by or on behalf of the maintainer as a result of, for example, changes to the fire risk assessment of the premises.

*Note: Where the Maintenance Organisation is not certificated to design and/or install and/or commission and handover installations, the work should be passed to another organisation that is suitably certificated.*

**15.12** Where a certificated System having become the subject of ongoing maintenance is to be altered in a way that changes specified requirements, for certification to remain, the work shall be undertaken by a Certificated Organisation with an appropriate scope.

**15.13** Appropriate information relating to repairs and changes undertaken at each maintenance visit shall be made available to the Customer. **Annex A1** specifies the minimum requirements for a Maintenance Certificate.

**15.14** Maintenance shall be undertaken in accordance with the requirements of the relevant Standard or Code of Practice depending upon the type of system installed. When the relevant Standard or Code is revised, the maintenance contract shall be amended at the earliest opportunity to maintain alignment with the requirements of the revised Standard or Code.

**15.15** Where a Maintenance Organisation takes responsibility for the maintenance of a Fire Detection and Alarm system and they have not had responsibility for the design, installation and commissioning of that System, such checks/tests as recommended by BS 5839-1, clause 46.2 shall be carried out and any variations identified from the applicable Codes of Practice shall be documented and drawn to the attention of the Customer.

## 16 SYSTEM VERIFICATION

**16.1** It is a requirement of this BAFE Scheme that a System be verified prior to completion of a BAFE Certificate of Compliance.

**See Guidance Document SG1 for further information on Clause 16.1**

**16.1.1** Organisations wishing to undertake Verification will need to have Design included within their scope of certification. If this is not the case, the organisation will not be able to satisfy the requirement within Clause 16.2 for Verification to be undertaken in conjunction with a person having Design competence

**16.2** An organisation that wishes to undertake Verification should advise their Certification Body at the time they apply for certification. Having received this information, the Certification Body can audit the organisation's Verification competence at the same time that they audit them against the requirements of all the other relevant clauses of SP203.

*Note: The Certification Body will normally expect to initially limit their audit of Verification competence to BS 5839-1. Competence in relation to other relevant Standards would be audited at a later date.*

**16.3** The objective of Verification is to ensure, as far as possible, that the installed System is in accordance with the Design Specification and that the structure and use of the building has not changed in any way that will require a change of the System Design. Verification is therefore to be undertaken in conjunction with a person with design competence.

***See Guidance Document SG1 for further information on Clause 16.2***

**16.4** Verification is not an appropriate activity to be classed as a separate module within this BAFE Scheme. However, Verification shall be undertaken by a competent person employed by a Certificated Organisation.

**16.5** Verification does not include detailed checks on the accuracy of the work of the organisations undertaking the various modules; that is covered by their Module Certificates. Verification does cover the aspects that could conceivably cause problems as a result of the interfaces between the modules.

***See Guidance Document SG1 for further information on Clause 16.4***

**16.6** Verification is not intended to be a means by which variations from the Design Specification or design problems associated with building changes can be readily accepted. Where aspects of the installed System are found to be at variance with the Design Specification, or the Design Specification has been affected by building changes, the problem shall be made known to the Customer and the solution agreed by the interested parties.

**16.7** All installations require Verification prior to the completion of a BAFE Certificate of Compliance.

***See Guidance Document SG1 for further information on Clause 16.6***

**16.8** The organisation taking responsibility for Verification may be any Certificated Organisation with at least the minimum scope detailed below:

- a) They must be certificated for the design of systems to the requirements of the Standard specified for the System e.g. BS 5839-1,
- b) they must have been assessed as at least having an understanding of installation requirements in so far as they impact upon the siting of system components e.g. fire detectors and the integrity of the interconnection of the components e.g. the fire resistance of the electrical cables, and
- c) they must have been assessed as at least having an understanding of Commissioning and an ability to comprehend the records associated with the Commissioning of the installed System.

***See Guidance Document SG1 for further information on Clause 16.7***

**16.9** Certificated Organisations having a contract involving a fire detection and fire alarm system shall advise their Customer, in writing, that all modules have to be completed by a Certificated Organisation and a satisfactory Verification has to be completed before a BAFE Certificate of Compliance can be completed. A satisfactory Verification is one where the organisation undertaking the Verification either:

- a) notes nothing that requires any further action to be taken prior to signing the Verification box on the BAFE Certificate of Compliance, or
- b) notes issues requiring further action to be taken that are subsequently completed and verified, and/or
- c) notes issues that when drawn to the attention of the Customer are formally presented as variations and are listed as such in the appendix to the Certificate of Compliance.

***See Guidance Document SG1 for further information on Clause 16.8***

**16.10** It is recognised that fire detection and fire alarm systems may be provided by non-Certificated Organisations. Where the owner/user of such a system subsequently requests a Certificate of Compliance, the system may be retrospectively inspected and verified by a Certificated Organisation providing that the Verification is associated with the letting of a maintenance contract to a Certificated Organisation with Maintenance of the relevant type of system included within their scope of certification.

**16.11** Where Verification is undertaken retrospectively and includes Verification of non-certificated modules, the Verification shall include an audit of the acceptability of all the work within the non-certificated modules as well as the work identified in Clauses **16.4** and **16.5**

## 17 CLAIMS OF CONFORMITY

**17.1** Conformity to this BAFE Scheme document shall be indicated by the following information:

- a) the number and date of this BAFE Scheme document e.g. SP203-1
- b) the name or trade mark of the Certificated Organisation
- c) the postal address of the Certificated Organisation
- d) where authorized, the relevant TPCB Logo in association with the relevant BAFE Modular Scheme SP203-Part 1 Logo. See **Annex 6**

**17.2** The Certificated Organisation shall not use the BAFE Logo or make any statement with reference to BAFE that, in the opinion of BAFE, is misleading or could bring BAFE into disrepute. To do so can result in cancellation of Certificated Organisation's BAFE Listing.

## 18 CHANGE OF REGISTRATION DETAILS

**18.1** A Certificated Organisation shall give the TPCB notice in writing of any proposed changes to its legal constitution or other changes, which may affect its certification.

**18.2** Changes of personnel, where their competence formed part of the Certification Audit, shall be recorded in a register of competent personnel and the register shall be included as a recognised part of the organisation's management system. In addition the TPCB shall be informed of the change within 30 days of it taking place

**18.3** Where, in the opinion of the TPCB, changes within the organisation are such that in the opinion of the TPCB the conditions under which certification was granted are significantly affected, the TPCB may decide that a new application for certification is required

**18.4** *The Certificated Organisation shall be advised that at any time the TPCB has the authority to grant, maintain and reduce the categories and, subject to appeal, cancel the certification*

**18.5** Upon cancellation of certification, however determined, the organisation shall immediately discontinue use of all advertising matter, stationary, etc. containing reference to certification and return any certification documents as required by the TPCB

## Annex 1 Information required on Certificates and other Formal Documentation Relating to the Scheme

Information to be included in certificates and other documentation required by this BAFE Scheme is listed within this Annex.

### **A1.1** Module Certificate

It is recognised that there are various means by which module completion may be signified to the Customer e.g. a Module Certificate supplied by the TPCB or a Certificate produced by the Certificated Organisation. Whichever means is used, it is necessary for at least the following information to be included:

- a) BAFE logo, as appropriate to Certificated Organisations scope of registration
- b) the organisation taking responsibility for the module and their BAFE Scheme certification details,
- c) the Module Certificate code issued by the TPCB, which shall be uniquely identified, see **A1.4**
- d) the address, physical location and brief description of the system to which the module relates,
- e) a statement that either confirms that a list of agreed variations is attached to the Certificate or an explanation of why such a list is not attached, and
- f) a statement declaring that the module has been successfully completed in accordance with the relevant requirements of the BAFE Scheme, SP203-1.

#### **A1.1.1** Additional requirements for the Commissioning Certificate

In addition to the requirements of **A.1.1** above, at least the following information shall be included on the Commissioning Certificate:

- a) the Standard against which the system has been designed,
- b) the type of system, e.g. L3, against which the commissioning has been undertaken, and
- c) all agreed variations from the requirements of the specification, including a list of non-certified products.
- d) a statement that ongoing maintenance is important and needs to be commenced within "X" months of commissioning, dependent upon the circumstances, shall be included within the contract documentation.

***Note:** "X" is likely to be between one and twelve months from the date when the system was commissioned*

#### **A1.1.2** Additional requirements for the Maintenance Certificate

The Certificate, where relevant, issued by the organisation responsible for the maintenance shall include at least the following information which may be merged with that required by BS 5839:

- a) issuing firm and BAFE registration Number
- b) protected premises address
- c) type of system and applicable Standard/Code of Practice and means of signalling to the Fire and Rescue Service
- d) details of the work undertaken,
- e) BAFE Certificate of Compliance number, if relevant.
- f) the date when the last maintenance was undertaken,
- g) the date when the next maintenance is due to take place
- h) certifying statement. e.g.- We, being currently an XXXX 'Certificated Firm' in respect of Fire Detection & Fire Alarm Systems of the type(s) we have identified in d) above, certify that the maintenance work identified in d) above complies with the Standard or Code of Practice identified in c) the above Schedule and with all other requirements as currently laid down within this Scheme in respect of such a system.

### **A1.2** BAFE Certificate of Compliance

The BAFE Certificate of Compliance shall have a format generally in accordance with the example shown in **Annex 4** and shall include the BAFE logo. The Certificate may be supplied subject to the conditions of the Scheme having been met and the overall installation being satisfactory.



As a minimum the following information shall be included on the Certificate of Compliance:

- a) the name and BAFE Registration details of the organisation issuing the Certificate
- b) the address and physical location at which the fire detection and fire alarm system is installed,
- c) the applicable Standard or Code and the category of system e.g. BS 5839-1, Type L3,
- d) type of premises e.g. industrial,
- e) the date on which the system was handed over, and
- f) the details of the organisations that have provided Module Certificates and Verification details
- g) the means of informing the fire and rescue service of a fire alarm

### **A1.3** Certificate of Modification

The BAFE Certificate of Modification shall have a format generally in accordance with the example shown in **Annex A4.4** and shall include as a minimum those details shown in **Annex 4.4**. The Certificate shall be supplied by the Certificated Organisation that takes overall responsibility for the performance and integrity of the modification.

### **A1.4** Module Certificate codes

Certificated Organisations will be issued with alphanumeric codes by their TPCB. The codes include a unique TPCB descriptor. These codes will then be used as the identifier on Module Certificates produced by the Certificated Organisation or the documentation used in place of a certificate or on blank Module Certificates supplied by the TPCB. Each of these codes and TPCB descriptors will be accompanied by a reference identifying the nature of the module. The numbers/codes shall be uniquely identified

An example of this reference relating to the 758<sup>th</sup> Module Certificate issued by the XYZ TPCB and relating to a Design module could appear as:

**XYZ 758 Des.**

### **A1.5** Information related to the Scheme

#### **A1.5.1** Titles of Scope of individual modules of the Scheme

The titles given in **A1.5.1.1** to **A1.5.1.5** shall be used by organisations applying for Certification Audit against the requirements of selected modules of this Scheme. Clause **17** provides more information.

**A1.5.1.1** The Design of fire detection and fire alarm systems for buildings

**A1.5.1.2** The installation of fire detection and fire alarm systems for buildings

**A1.5.1.3** The commissioning and handover of fire detection and fire alarm systems for buildings

**A1.5.1.4** The maintenance of fire detection and fire alarm systems for buildings

**A1.5.1.5** The Design, installation, commissioning and handover and maintenance of fire detection and alarm systems in dwellings using the requirements of BS 5839 Part 6.

#### **A1.5.2** Standards against which organisations may be assessed

**A1.5.2.1** Standards and Codes relevant to organisations applying for Certification Audit to categories **A1.5.1.1** to **A1.5.1.4**

- BS 5839 Part 1 Fire detection and fire alarm systems for buildings - Code of practice for Design, installation, commissioning and maintenance of systems in non-domestic premises.
- HTM 05-03 Operational Provisions - Part B: Fire detection and alarm systems
- BS 5839 Part 8 Fire detection and fire alarm systems for buildings - Code of practice for the design, installation, commissioning and maintenance of voice alarm systems
- BS 6266 Code of practice for fire protection for electronic equipment installations
- BS 7273-4 - Code of practice for the operation of fire protection measures – Part 4: Actuation of release mechanisms for doors
- FIA Code of Practice for aspirating smoke detection systems

- Scottish Healthcare Firecodes, SHTM 81, 82, 83, 85.

**Note:** *This document assumes the latest version of the above Standard or Code of Practice is being used unless a specific date is quoted in the text.*

**A1.5.2.2** Code relevant to organisations applying for Certification Audit to category **A1.5.1.5**

- BS 5839 Part 6 Code of practice for the design, commissioning and maintenance of fire detection and alarm systems in domestic premises.

## Annex 2 BAFE Scheme Information Statement

To be supplied to the Customer at the time of issuing a BAFE Certificate of Compliance



### **FIRE PROTECTION INDUSTRY SCHEME FOR FIRE DETECTION & FIRE ALARM SYSTEMS.**

BAFE, The Fire Service College, London Road, Moreton-in-Marsh, Gloucestershire GL56 0RH  
Telephone: 0844 335 0897; E-mail: [info@bafe.org.uk](mailto:info@bafe.org.uk); Internet: [www.bafe.org.uk](http://www.bafe.org.uk)

This BAFE Scheme permits companies involved with one or more of the following:

#### **design, installation, commissioning, handover and maintenance**

of fire detection and fire alarm systems to become third party certificated and BAFE Listed as a recognition of their competence to undertake their scope of work. By so doing, the certificates issued by these companies on completion of their work will give the client and relevant regulating authorities e.g. Fire Authority and Building Control, confidence that the work has been correctly undertaken. Depending upon the specification for the system, relevant matters relating to the safety of occupants of the buildings and the assets within the buildings will have been taken into account. The indications of the delivery of the work to the required specification will be:

- a) certificates or similar documentation for the relevant work modules,
- b) a comprehensive System Commissioning Certificate, and
- c) providing all work has resulted in the issue of module certificates (retrospectively if necessary), and the project has been finally verified, the BAFE Certificate of Compliance for the overall installation.

BAFE is a non-profit making organisation that brings together all major interest groups involved in the testing and certification of products and services associated with active fire protection systems. These organisations include Government, the Fire Service, building control authorities, insurers, users, testing and certification bodies, the fire protection industry, and trading standards.

The Third Party Certification Bodies operating this Scheme are accredited by UKAS to BS EN ISO/IEC 17065 "General requirements for bodies operating product certification systems." They recognise that the competence of contractors is essential for the reputation of the industry and for ensuring the safety of occupants and the assets within the premises protected by the installation.

Each of the contractors has satisfied their Third Party Certification Body that they have the competence to undertake work within their defined scope and have effective quality management procedures in operation.

The contractors detailed on the BAFE Certificate of Compliance certify that they have discharged their responsibilities in accordance with the relevant conditions of this BAFE Scheme.

The BAFE Certificate of Compliance is effective from the date of signature; however subsequent changes to the system may require a new certificate to be issued after completion of alterations. The system will also require ongoing maintenance if the system and the BAFE Certificate of Compliance are to remain effective. It is recommended that the maintenance, and any alterations, are undertaken by contractors certificated to this BAFE Scheme.

## Annex 3 INSTRUCTIONS FOR COMPLETION OF BAFE/TPCB CERTIFICATES OF COMPLIANCE

Certificated Organisations may issue BAFE/TPCB Certificates of Compliance only for Types of Fire detection and Fire Alarm Systems for which they hold TPCB certification. For example, where TPCB certification is held only for the provision of a fire detection and fire alarm system, then you are NOT entitled to issue a BAFE/TPCB certificate for a gaseous fire extinguishing system. **BAFE/TPCB Certificates of Compliance are to be issued by the Certificated Organisation undertaking the verification and only if all modules of work have been undertaken and certificated by an organisation that holds BAFE listing under this Scheme.**

BAFE/TPCB Certificates of Compliance are to be used by Certificated Organisations for those fire systems for which they hold certification.

**Note:** The 'List of Presented Variations' must be issued as an appendix to the Certificate of Compliance.

**A copy of the certificate must be returned to the offices of the TPCB within 30 days. The second copy is for the customer. The third copy is for retention by the issuing Certificated Organisation. The customer must also be issued with a copy of the BAFE Scheme letter.**

**PLEASE ENSURE THAT THE CERTIFICATE IS NOT HAND WRITTEN.**

Complete all sections. The address is that of the premises where the fire system is installed. Please include the post code.

Guidance notes relating to Part 4 of the Schedule:

Complete Part 4 of the Schedule by placing a **single** letter code in each of the boxes in Parts 4.1 to 4.3, and completing Parts 4.4 to 4.5 as appropriate. The codes used in Parts 4.1 to 4.3 should indicate only the **Primary** type of System, Signalling and Premises as appropriate.

### **4.1** Type of System & Standard / Code of Practice applicable:

- A Fire Detection and Alarm System: BS 5839 Pt 1 (Non-domestic premises)
- B Fire Detection and Alarm System: BS 5839 Pt 6 (Domestic premises)
- C Gaseous Fire Extinguishing System: BS EN 15004-1
- D Fire Extinguishing System: BS 5306-4 (Carbon dioxide CO<sub>2</sub>)
- E Fire Protection for Electronic Data Processing Installations: BS 6266
- F Health Technical Memorandum HTM 05-03(B)
- G Scottish Healthcare Firecode (SHTM 81, 82, 83, 85)

In all cases only ONE code letter should be used to indicate the system type. Where a firm holds certification for providing gaseous fire suppression systems and fire detection systems provides both for the same customer at the same premises, **two** BAFE Certificates of Compliance should be issued.

### **4.2** Type of Signalling:

- A Local audible alarm
- B Remote One Device – One Path
- C Remote One Device – Two Paths
- D Remote Two Devices – Multiple Paths
- E Not Applicable

Where there are more than two types of signalling, the two main methods of signalling should be identified, and the appropriate code entered.

### **4.3** Type of Premises:

- A Retail (e.g. shop, chain store, department store, etc)
- B Commercial (e.g. office, garage, car hire)

- C Public Sector (e.g. state school, health centre, local government office, etc)
- D Licensed (e.g. hotel, public house, off-licence)
- E Domestic (e.g. house, flat, other residential premises)
- F Industrial (e.g. factory, warehouse)
- G Bank or Financial (e.g. bank, building society, insurance firm, stockbrokers, etc)
- H Institutional (e.g. trade association, trade union office, club, etc)
- J Hospital
- K Sheltered/Warden Controlled Accommodation

- 4.4** Complete Part 4.4 of the Schedule by placing a single letter 'X' in the box provided, if part or all of the system to be certificated was existing.
- 4.5** Complete Part 4.5 of the Schedule by placing a single letter 'X' in the box provided, if a 'List of Variations' has been presented and is attached to this certificate.

Guidance notes relating to Part 5 of the Schedule:

Complete Part 5 of the Schedule by entering the BAFE Registration Number of the Certificated Organisation in each of the boxes in Parts 5.1 to 5.5.

Guidance notes relating to Part 6 of the Schedule:

In Part 6 please type the date on which the installation was commissioned and the Fire & Rescue Service code (TPCB issues a list of Fire & Rescue Service codes).

At the bottom of the certificate please type the date on which the certificate was prepared. Then sign the certificate, including the job title of the person issuing it; the signature must be that of someone who is authorised to sign on behalf of the issuing Certificated Organisation.

Distribution: The Second copy must be given to the customer when the system is handed over. In the case of remote signalling fire alarms where the alarm system is left on 'audible only' operation for a trial/test period the certificate should be issued at the 'Audible Only' stage, i.e. before the remote signalling equipment is connected. It is of course important in such cases that the customer is informed that the remote signalling is not operational and that the Certificated Organisation connects remote signalling without undue delay.

**Note:** These certificate formats and contents are for guidance only – See Annex A1 for further details



TPCB's Certificate Designation Information

## CERTIFICATE OF COMPLIANCE OF A FIRE DETECTION & FIRE ALARM SYSTEM

**IMPORTANT NOTE:** Recipients of this Certificate of Compliance are strongly advised to have their System(s) covered by a current maintenance contract with an SP203-1 Certificated Organisation with maintenance included within their scope.

| SCHEDULE      |  |
|---------------|--|
| <b>Part 1</b> | <b>Name of Approved Company &amp; BAFE Registration Number</b>               |
| <b>Part 2</b> | <b>Name of Customer</b>  |
| <b>Part 3</b> | <b>Address of protected premises</b>   |
| <b>Part 4</b> | <b>4.1 Type of System &amp; Applicable Standard/Code of Practice</b>         |
|               | <b>4.2 Means of signalling a Fire Alarm to the Fire &amp; Rescue Service</b> |
|               | <b>4.3 Type of Premises &amp; unique identifier</b>                          |
|               | <b>4.4 Reason for issue of Certificate</b>                                   |
|               | <b>4.5 Has a list of Variations been presented?</b>                          |
| <b>Part 5</b> | <b>5.1 Design Organisation</b>   |
|               | <b>5.2 Installation Organisation</b>   |
|               | <b>5.3 Commissioning Organisation</b>  |
|               | <b>5.4 Verifying Organisation</b>  |
|               | <b>5.5 Handover Organisation</b>   |
| <b>Part 6</b> | <b>Date of Handover of the system</b>  |

This Certificate of Compliance should be read in conjunction with the agreed List of Variations of the System and/or the applicable Maintenance/inspection report (if any). See over for guidance notes relating to the Schedule

We, being currently an XXXX 'Certificated Firm' in respect of Fire Detection & Fire Alarm Systems of the type(s) we have identified in Part 4 of the above Schedule, certify that the system in the above Schedule complies with the Standard or Code of Practice identified in the above Schedule and with all other requirements as currently laid down within the SP203-1 Certification Scheme in respect of such a system.

Signed for and on behalf of the issuing Firm \_\_\_\_\_ Print Name\_\_\_\_\_

Job Title \_\_\_\_\_ Date of Issue \_\_\_\_\_ (DD/MM/YYYY)

Name and address of XXXX Third Party Certification Body

BAFE, The Fire Service College, London Road, Moreton-in-Marsh, Gloucestershire GL56 0RH  
Telephone: 0844 335 0897; E-mail: [info@bafe.org.uk](mailto:info@bafe.org.uk); web: [www.bafe.org.uk](http://www.bafe.org.uk)

## Annex 4.1 (Continued) Text on reverse side of BAFE Certificate of Conformity

1. This certificate is issued subject to the Regulations and other Rules of the XXXX Scheme of the TPCB Title relating to XXXX Recognition.
  2. The TPCB Title reserves a right to inspect by its duly authorized representative the subject installation and this certificate is conditional on the customer identified in Part 2 of the Schedule allowing from time to time during business hours a duly authorized Inspector of the TPCB Title access to inspect the installation and the issuing firm identified in Part 1 of the Schedule access to the premises and permitting the relevant modular firm to undertake such works as in the opinion of the TPCB Title may be necessary to ensure that the said installation complies with the said Standards and or Codes of Practice and with all other requirements of the TPCB Title.
  3. The TPCB Title requires every XXXX Recognised Firm, recognised as a provider of fire protection systems, to issue a certificate for any work it carries out, within a new fire system, and for which the firm holds XXXX Recognition. If it is responsible for just part(s) of the complete system then it will issue a modular XXXX certificate. If it is responsible for all of the work or is contractually responsible for issuing the full certificate, then it must issue the final BAFE certificate. Module certificates should be issued at the completion of each module. The final BAFE Certificate of Compliance should be issued upon the successful completion irrespective of whether or not the remote signaling connection has been made. Failure to issue a certificate as required by the XXXX Regulations may result in the firm's XXXX Recognition being cancelled.
  4. Should the customer be dissatisfied with the work covered by this certificate, he/she should at first contact the Recognised firm at its local office. If satisfaction is not obtained at this level, the customer should address a written complaint to the customer relations executive of the Recognised firm, at the firm's head office. If the customer remains dissatisfied, he may address a written complaint, outlining the nature of their dissatisfaction and the circumstances of the installing firm's response, to the offices of XXXX.
- The TPCB Title will not normally consider complaints unless the Recognised firm has been given the opportunity to resolve the dispute as set out above.
- The TPCB Title will seek to resolve the dispute between the contracting parties. The TPCB Title will not involve itself in any discussions or negotiations with the customer with regard to loss claims or potential loss claims, outstanding payments or interpretation of Recognised firm's terms and conditions of contract. Consequently, the TPCB Title does not accept liability.
5. This Certificate confirms compliance with the standards and/or Codes of Practice stated hereon as applicable at the date of issue of the Certificate. The TPCB Title cannot undertake to investigate any query or complaint in relation to the installation if future changes to Standards, Codes of Practice, policies or other regulations render the installation in need of updating or other modification. In that event, the appropriate update or modification should be carried out by a firm holding XXXX Recognition and a new certificate issued.
  6. The TPCB Title cannot undertake to investigate any query or complaint arising in relation to the installation unless the installation is and remains covered by a maintenance agreement between the customer and a firm recognised by the TPCB Title.
  7. The TPCB Title does not accept any responsibility or liability for any defect there may be now or hereafter in the installation or for its failure to work at any time and no warranty or condition express or implied and whether statutory or otherwise is given by the TPCB Title in regards to the above installation either to the Recognised Firm or to the customer and whether or not any such defect or failure should be apparent or occur after any inspection carried out by the TPCB Title's representative has been carried out on the installation or any part thereof.
  8. Unless the issuing firm's obligation to the TPCB Title in respect of the installation are undertaken by another Recognised Firm, the TPCB Title will not be able to enforce its Rules and Standards on the Recognised Firm or on their successor in business in respect of any installation if the issuing firm ceases to hold XXXX Recognition.
  9. This Certificate is issued subject to the terms and conditions of the issuing firm for the supply and maintenance of the said installation to the said firm's customer which should be deemed to be incorporated herein and shall not be deemed to be varied or superseded by any of the provisions hereof.
- This Certificate shall, at all times, remain the property of the TPCB Title who may withdraw it at any time after the issuing firm has ceased to hold XXXX Recognition or at any time after the TPCB Title is of the opinion that the issuing firm has not complied with its obligations under the rules of XXXX.
10. On this Certificate and in these terms and conditions, where the context permits, the reference to the issuing firm shall include any Recognised Firm which shall undertake the issuing firm's obligations to the TPCB Title in respect of the subject installation.

### Guidance Notes relating to Part 4 of the Schedule

**Part 4.1:** In the Schedule Part 4.1 a single letter indicates the Primary Type of System & Standard/Code of Practice Applicable, this is as indicated below:

#### **Type of System & Standard/Code of Practice Applicable**

- A Fire Detection and Alarm System: BS5839 Pt 1 (Non-domestic premises)
- B Fire Detection and Alarm System: BS5839 Pt 6 (Domestic premises)
- C Gaseous Fire Extinguishing System: BS 15004
- D Fire Extinguishing System: BS5306-4 (Carbon dioxide CO<sub>2</sub>)
- E Fire Protection for Electronic Data Processing Installations: BS6266
- F Health Technical Memorandum HTM 05-03(B)

#### **FOOTNOTE**

Standards and Codes of Practice referred to in the notes above: "BS" means British Standard, as published by British Standards Institution.

**Part 4.2:** In the Schedule Part 4.2 a single letter indicates the Primary Type of Signaling used, this is as indicated below:

#### **Type of Signaling**

- A Local Audible Alarm
- B Remote One Device - One Path
- C Remote One Device - Two Paths
- D Remote Two Devices - Multiple Paths
- E Not Applicable

**Part 4.3:** In the Schedule Part 4.3 a single letter indicates the Type of Premises, this is as indicated below:

#### **Type of Premises**

- A Retail
- B Commercial
- C Public Sector
- D Licensed
- E Domestic
- F Industrial
- G Bank or Financial
- H Institutional
- J Hospital
- K Sheltered/Warden Controlled Accommodation

**Part 4.4:** A single letter 'X' indicates that part or all the system to be certificated was existing.

**Part 4.5:** A single letter 'X' indicates that a schedule of variations has been presented.

### Guidance Notes relating to Part 5 of the Schedule

**Note 1:** Company details relating to a Registration Number can be obtained from the offices of BAFE or from their website.

**Note:** These certificate formats and contents are for guidance only – See Annex A1 for further details



TPCB's Certificate Designation Information

**MODULE CERTIFICATE – *insert module*  
OF A FIRE DETECTION & FIRE ALARM SYSTEM**

**IMPORTANT NOTE:** Recipients of this BAFE /XXXX Certificate are strongly advised to have their System(s) covered by a maintenance contract with an SP203-1 Certificated Organisation with maintenance included within their scope.

| SCHEDULE |   |
|----------|---|
| Part 1   | Name of Issuing Firm & BAFE Registration Number                   |
| Part 2   | Name of Customer  |
| Part 3   | Address of protected premises                                     |
| Part 4   | 4.1 Type of System & Applicable Standard/Code of Practice         |
|          | 4.2 Means of signalling a Fire Alarm to the Fire & Rescue Service |
|          | 4.3 Type of Premises & unique identifier                          |
|          | 4.4 Reason for issue of certificate                               |
|          | 4.5 Has a list of Variations been presented?                      |
| Part 5   | Date of Module completion   |

This Module Certificate should be read in conjunction with the agreed List of Variations of the System and/or the applicable Maintenance/inspection report (if any). See over for guidance notes relating to the Schedule

We, being currently an XXXX 'Certificated Firm' in respect of Fire Detection & Fire Alarm Systems of the type(s) we have identified in Part 4 of the above Schedule, certify that the system in the above Schedule complies with the Standard or Code of Practice identified in the above Schedule and with all other requirements as currently laid down within the SP203-1 Certification Scheme in respect of such a system.

Signed for and on behalf of the issuing Firm \_\_\_\_\_ Print Name \_\_\_\_\_

Job Title \_\_\_\_\_ Date of Issue \_\_\_\_\_ (DD/MM/YYYY)

Name and address of XXXX Third Party Certification Body

BAFE, The Fire Service College, London Road, Moreton-in-Marsh, Gloucestershire GL56 0RH  
Telephone: 0844 335 0897; E-mail: [info@bafe.org.uk](mailto:info@bafe.org.uk); web: [www.bafe.org.uk](http://www.bafe.org.uk)



**Note:** These certificate formats and contents are for guidance only – See Annex A1 for further details



TPCB's Certificate Designation Information

**MODULE CERTIFICATE – *insert multiple modules*  
OF A FIRE DETECTION & FIRE ALARM SYSTEM**

**IMPORTANT NOTE:** Recipients of this BAFE /XXXX Certificate are strongly advised to have their System(s) covered by a maintenance contract with an SP203-1 Certificated Organisation with maintenance included within their scope.

| SCHEDULE      |  |
|---------------|--|
| <b>Part 1</b> | <b>Name of Issuing Firm &amp; BAFE Registration Number</b>                   |
| <b>Part 2</b> | <b>Name of Customer</b>  |
| <b>Part 3</b> | <b>Address of protected premises</b>   |
| <b>Part 4</b> | <b>4.1 Type of System &amp; Applicable Standard/Code of Practice</b>         |
|               | <b>4.2 Means of signalling a Fire Alarm to the Fire &amp; Rescue Service</b> |
|               | <b>4.3 Type of Premises &amp; unique identifier</b>                          |
|               | <b>4.4 Reason for issue of Certificate</b>                                   |
|               | <b>4.5 Has a list of Variations been presented?</b>                          |
| <b>Part 5</b> | <b>Date of Module completion</b>   |
| <b>Part 6</b> | <b>Latest date by which system maintenance should commence</b>               |

This Module Certificate should be read in conjunction with the agreed List of Variations of the System and/or the applicable Maintenance/inspection report (if any). See over for guidance notes relating to the Schedule

We, being currently an XXXX 'Certificated Firm' in respect of Fire Detection & Fire Alarm Systems of the type(s) we have identified in Part 4 of the above Schedule, certify that the system in the above Schedule complies with the Standard or Code of Practice identified in the above Schedule and with all other requirements as currently laid down within the SP203-1 Certification Scheme in respect of such a system.

Signed for and on behalf of the issuing Firm \_\_\_\_\_ Print Name \_\_\_\_\_

Job Title \_\_\_\_\_ Date of Issue \_\_\_\_\_ (DD/MM/YYYY)

Name and address of XXXX Third Party Certification Body

BAFE, The Fire Service College, London Road, Moreton-in-Marsh, Gloucestershire GL56 0RH  
Telephone: 0844 335 0897; E-mail: [info@bafefire.org.uk](mailto:info@bafefire.org.uk); web: [www.bafefire.org.uk](http://www.bafefire.org.uk)

*Note: These certificate formats and contents are for guidance only – See Annex A1 for further details*



## CERTIFICATE of MAINTENANCE OF A FIRE DETECTION & FIRE ALARM SYSTEM

| SCHEDULE      |   |
|---------------|---|
| <b>Part 1</b> | <b>Name of Issuing Firm &amp; BAFE Registration Number</b>                          |
| <b>Part 2</b> | <b>Name of Customer</b>   |
| <b>Part 3</b> | <b>Address of protected premises</b>  |
| <b>Part 4</b> | <b>4.1 Type of System &amp; Applicable Standard/Code of Practice</b>                |
|               | <b>4.2 Details of the Certificate of Conformity if a current Certificate exists</b> |
| <b>Part 5</b> | <b>5.1 Details of the maintenance work undertaken</b>                               |
| <b>Part 6</b> | <b>Date maintenance completed</b>   |
|               | <b>Period of maintenance covered by this certificate</b>                            |
|               | <b>Other comments</b>   |

This Maintenance Certificate should be read in conjunction with the agreed List of Variations of the System and/or the applicable Maintenance/inspection report (if any). See over for guidance notes relating to the Schedule

We, being currently an XXXX 'Certificated Firm' in respect of Fire Detection & Fire Alarm Systems of the type(s) we have identified in Part 4 of the above Schedule, certify that the maintenance work identified in Part 5 of the above Schedule complies with the Standard or Code of Practice identified in Part 4 of the above Schedule and with all other requirements as currently laid down within the SP203-1 Certification Scheme in respect of such a system.

Signed for and on behalf of the issuing Firm \_\_\_\_\_ Print Name \_\_\_\_\_

Job Title \_\_\_\_\_ Date of Issue \_\_\_\_\_ (DD/MM/YYYY)

Name and address of XXXX Third Party Certification Body

BAFE, The Fire Service College, London Road, Moreton-in-Marsh, Gloucestershire GL56 0RH  
Telephone: 0844 335 0897; E-mail: [info@bafe.org.uk](mailto:info@bafe.org.uk); Internet: [www.bafe.org.uk](http://www.bafe.org.uk)



TPCB  
LOGO

TPCB's Certificate  
Designation  
Information

## CERTIFICATE of MODIFICATION OF A FIRE DETECTION & FIRE ALARM SYSTEM

**IMPORTANT NOTE:** Recipients of this BAFE /XXXX Certificate are strongly advised to have their System(s) covered by a maintenance contract with an SP203-1 Certificated Organisation with maintenance included within their scope.

| SCHEDULE      |  |
|---------------|--|
| <b>Part 1</b> | <b>Name of Issuing Firm &amp; BAFE Registration Number</b>   |
|               | <b>Name &amp; BAFE Registration Number of Firm undertaking the Design of the system</b>                          |
| <b>Part 2</b> | <b>Name of Customer</b>  |
| <b>Part 3</b> | <b>Address of protected premises</b>   |
| <b>Part 4</b> | <b>4.1 Type of System &amp; Applicable Standard/Code of Practice</b>   |
|               | <b>4.2 Details of the modifications covered by this Certificate</b>  |
|               | <b>4.3 Details of the variations from the recommendations of Clause 46.4 of BS 5839-1 and current amendments</b> |
| <b>Part 5</b> | <b>Date of completion of the modification</b>  |

This Certificate should be read in conjunction with the agreed List of Variations of the System and/or the applicable Maintenance/inspection report (if any). See over for guidance notes relating to the Schedule

We, being currently an XXXX 'Certificated Firm' in respect of Fire Detection & Fire Alarm Systems of the type(s) identified in Part 4 of the above Schedule, certify that the system in the above Schedule complies with the Standard or Code of Practice identified in the above Schedule and with all other requirements as currently laid down within the SP203-1 Certification Scheme in respect of such a system.

**Note:** If more than one SP203-1 Certificated Organisation is involved with the modification work, each will provide an appropriate Module Certificate and one will have responsibility for providing this Certificate of Modification. This Certificate is not a substitute for the Modification Certificate referred to in Clause 46.4.2 g) of BS 5839-1 and is not a substitute for a BAFE SP203-1 Certificate of Compliance issued on the successful completion of an entire fire detection and fire alarm system.

Signed for and on behalf of the issuing Firm \_\_\_\_\_ Print Name \_\_\_\_\_

Job Title \_\_\_\_\_ Date of Issue \_\_\_\_\_ (DD/MM/YYYY)

Name and address of XXXX Third Party Certification Body

## Annex 5 Rules for Remote UK Islands and Crown Dependencies Where no Certificated Organisations Exist

### Commentary

The effect of this note is to allow the use of non-registered subcontractors in specified locations and under controlled conditions, so that Registered Companies remain within the scheme rules.

The sub- contractor must be appropriately supervised, and a comprehensive system of appraisal and review established, that is fully auditable by a Certification Body.

In areas prescribed above where no BAFE Registered Companies exist the following rules shall apply.

In any given specified location, where local regulations regarding the use of non-residents apply and/or where no BAFE Registered company exists in that location, the use of a non BAFE Registered sub-contractor is permitted providing:

- i The subcontractor is under the direct control of the BAFE Registered Company through a formal written agreement.
- ii The subcontractor complies with the requirements of the scheme.
- iii Before such work can commence, BAFE are notified in writing and served with a copy of the formal written agreement.

Any subcontractor operating under this dispensation is not permitted to claim they are BAFE Registered nor are they permitted to use the BAFE name or logo.

Certification Bodies shall audit this clause and seek evidence of compliance where it applies.

## Annex 6 SP203-1 Scheme Logos

### Use of the BAFE Logo

The use of the BAFE Logo is restricted by the Terms and Conditions of BAFE. Further details of these restrictions, together with details of the logo, can be obtained from BAFE.

General rules relating to the use of the BAFE Logo are detailed below:

Use of the BAFE Logo is permitted, as shown below, subject to the rules of BAFE.

- On an SP203-1 Certificated Organisation's Letterhead
- On an SP203-1 Module Certificate
- On an SP203-1 Certificate of Modification
- On an SP203-1 Certificate of Compliance
- On an SP203-1 Commissioning Certificate
- On an SP203-1/BS5839-1 Maintenance Certificate



For large scale logos and vehicle logos different graphics can be used – apply to BAFE.

Please note: The BAFE and BAFE Fire Safety Register logos are registered trademarks owned by BAFE. Only BAFE and BAFE Licensed Certification Bodies are permitted to use the logo without the scheme description box underneath without prior permission.

BAFE SP203-1 Scheme Logos can only be used once you have been issued with your BAFE SP203-1 Scheme Registration Certificate. BAFE SP203-1 Logo Guidelines are available from BAFE.

| <b>Amendment Record</b>            |                   |  |                    |                            |                    |
|------------------------------------|-------------------|--|--------------------|----------------------------|--------------------|
| <b>Document Title</b>              |                   | <b>Issue Date</b>  | <b>Reviewed By</b> | <b>Approved By</b>         |                    |
| SP203-1<br>Version 7.1             |                   | May 2019   | Schemes Manager    | Scheme Monitoring<br>Group |                    |
|                                    |                   |  |                    |                            |                    |
| <b>Amendment Number &amp; Date</b> | <b>Clause No.</b> | <b>Description of Amendment</b>  |                    |                            | <b>Approved By</b> |
| Version 7.1<br>May 2019            |                   | Guidance notes removed and contained within new document SG1, Guidance for Modular Schemes and cross reference added |                    |                            | C Auger            |
|                                    |                   | TPCB notes removed and now in Part 2 document  |                    |                            | C Auger            |
|                                    |                   | New logos updated  |                    |                            | C Auger            |
|                                    |                   | Date references on Standards removed   |                    |                            | C Auger            |
|                                    |                   | Record retention period changed to 7 years or the minimum legal requirement throughout document                      |                    |                            | C Auger            |
|                                    |                   | Document re-ordered to reflect sequential process  |                    |                            | C Auger            |
|                                    | 1.1               | 2 <sup>nd</sup> last line “....by competent persons or Organization” added   |                    |                            | C Auger            |
|                                    | 1.5               | Guidance note description changed  |                    |                            | C Auger            |
|                                    | 1.6               | reference to part 2 document changed   |                    |                            | C Auger            |
|                                    | 1.8               | Now 1.7 with minor amendment   |                    |                            | C Auger            |
|                                    | 2.2               | Guidance note removed – references to L5 systems no longer relevant  |                    |                            | C Auger            |
|                                    | 3.1               | Word evidence replaces proof. Other change as per 1.1  |                    |                            | C Auger            |
|                                    | 3.2               | Note 1, 2 <sup>nd</sup> line “....need to issue modular certificates” removed  |                    |                            | C Auger            |
|                                    | 3.4               | Reference to Annex 1.8 removed   |                    |                            | C Auger            |
|                                    | 4.2               | Definition updated for clarity   |                    |                            | C Auger            |
|                                    | 4.3               | Note removed   |                    |                            | C Auger            |
|                                    | 4.4               | Organisation added to replace “company or body”  |                    |                            | C Auger            |
|                                    | 4.5               | As above. Listed replaced with registered  |                    |                            | C Auger            |
|                                    | 4.13              | Definition updated for clarity   |                    |                            | C Auger            |
|                                    | 4.15              | Definition updated for clarity   |                    |                            | C Auger            |
|                                    | 4.16              | Key Personnel definition added   |                    |                            | C Auger            |
|                                    |                   | 4.17 – 4.27 updated to reflect additional clause   |                    |                            | C Auger            |
|                                    | 5                 | Now clause 11  |                    |                            | C Auger            |
|                                    | 6                 | Now clause 12  |                    |                            | C Auger            |
|                                    | 7                 | Now clause 13  |                    |                            | C Auger            |
|                                    | 8                 | Now clause 14  |                    |                            | C Auger            |
|                                    | 9                 | Now clause 15  |                    |                            | C Auger            |
|                                    | 10                | Now clause 16<br>New Clause 16.1.1 & 16.2  |                    |                            | C Auger            |
|                                    | 11                | Now clause 10  |                    |                            | C Auger            |
|                                    | 10g               | updated for clarity  |                    |                            | C Auger            |
|                                    | 12                | Now clause 17  |                    |                            | C Auger            |
|                                    | 13                | Now clause 5   |                    |                            | C Auger            |
|                                    | 14                | Now clause 6   |                    |                            | C Auger            |
|                                    | 15                | Now clause 7   |                    |                            | C Auger            |
|                                    | 16                | Now clause 8   |                    |                            | C Auger            |
|                                    | 17                | Now clause 9   |                    |                            | C Auger            |
|                                    | Annex 1           | A1.1 – new bullet a) added   |                    |                            | C Auger            |
|                                    |                   | A1.1 c) – unique identification added  |                    |                            | C Auger            |
|                                    |                   | A1.1.1b) – type replaces category  |                    |                            | C Auger            |
|                                    |                   | A1.1.2 a) – removed. Bullet h) – SP203-1 reference removed   |                    |                            | C Auger            |
|                                    |                   | clause A1.2, last sentence of first para removed. 2 <sup>nd</sup> paragraph – at least replaced with “as a minimum”  |                    |                            | C Auger            |
|                                    |                   | Annex 1.3 – reference to Annex 2.5 replaced with 2.4. Clause updated for clarity                                     |                    |                            | C Auger            |
|                                    |                   | A1.4 – last line of first paragraph – uniquely identified added  |                    |                            | C Auger            |
|                                    |                   | A1.5 moved to separate annex   |                    |                            | C Auger            |
|                                    |                   | Annex 1.6.3 clause added on verification   |                    |                            | C Auger            |
|                                    |                   | Annex 1.7 now Annex 2  |                    |                            | C Auger            |

|                               |           |   |           |
|-------------------------------|-----------|---|-----------|
|                               |           | Annex A1.8, process flow diagram removed  | C Auger   |
|                               |           | Annex 1.9 now Annex 3   | C Auger   |
|                               |           | Annex 1.9 & 2.1 - Reference to Carbon monoxide replaced with CO <sub>2</sub>  | C Auger   |
|                               | Annex 2.1 | now 4.1   | C Auger   |
|                               | Annex 2.2 | now 4.2 – annex renamed for single module certificate. “insert module” added. Section 4.3 – unique identifier added (if there is one). Section 4.4 – updated to reason for issue of certificate (this could be new or existing system).   | C Auger   |
|                               | Annex 2.3 | now 4.3. annex renamed for multiple module certificate. “insert multiple module” added. Section 4.3 – unique identifier added (if there is one). Section 4.4 – updated to reason for issue of certificate (this could be new or existing system. Section 5 changed to date of module completion | C Auger   |
|                               |           | Certificate of maintenance added  | C Auger   |
|                               | Annex 2.4 | now 4.4   | C Auger   |
|                               | Annex 3   | now Annex 5   | C Auger   |
|                               | Annex 4   | now Annex 6   | C Auger   |
| Version 7.0<br>August 2016    |           | Multiple changes  | T Maskens |
| Version 6<br>November<br>2015 |           | Multiple changes  | T Maskens |
|                               |           |   |           |